



IPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): LASATER et al.

Group Art Unit: 3713

Application No.: 10/799,387

Examiner: Not yet assigned

Filed: March 12, 2004

Attorney Docket No.: H01.002/U

Title: SYSTEMS AND METHODS TO
FACILITATE A TRANSFER OF A
REFUND AMOUNT FROM AN
EDUCATIONAL INSTITUTION TO
A STUDENT

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United State Postal Service with sufficient postage as first class mail in an envelope addressed to: Office of Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 23, 2004.

Dated: July 23, 2004

By: *Edith Martin*
Edith Martin

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination's
Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request that the May 28, 2004 Filing Receipt of the above-referenced patent application be corrected as follows:

First-named inventor's city address to be shown as "New Haven, CT"; and

Third-named inventor should read "Mark Volchek".

Also, kindly correct the Domestic Priority data as claimed by applicant information to be shown as "This appln claims benefit of 60/458,671 filed 03/28/2003 and is a CIP of 10/345,605 filed 01/16/2003 which claims benefit of 60/350,255 filed 01/17/2002".

Applicants respectfully request an updated Filing Receipt reflecting the changes as shown above. Enclosed is a copy of the redlined Filing Receipt with the changes noted thereon.

Respectfully submitted,



July 23, 2004

Date

Patrick J. Buckley
Reg. No. 40,928
Attorney for Applicant
Buckley, Maschoff & Talwalkar LLC
Five Elm Street
New Canaan, CT 06840
(203) 972-0191



UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED JUN 01 2004

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

| APPL NO. | FILING OR 371 (c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/799,387 | 03/12/2004 | 3713 | 649 | H01.002/U | 27 | 35 | 6 |

CONFIRMATION NO. 7249

FILING RECEIPT



OC000000012801789

28062
 BUCKLEY, MASCHOFF, TALWALKAR LLC
 5 ELM STREET
 NEW CANAAN, CT-06840

Date Mailed: 05/28/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Miles Lasater, [New Haven, CT;] New Haven, CT
 Sean Glass, New Haven, CT;
 [Mark Volehek,] East Haven, CT; Mark Volchek
 Jeff Wong, Easton, CT;
 Ernest C. Jones, Houston, TX;
 Casey McGuane, East Haven, CT;
 Kiril Savino, Edgewater, NJ;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/458,671 03/28/2003
 and is a [CON] of 10/345,605 01/16/2003 CIP
 which claims benefit of 60/350,255 01/17/2002

Foreign Applications

If Required, Foreign Filing License Granted: 05/27/2004

Projected Publication Date: 09/02/2004

Non-Publication Request: No

Early Publication Request: No

Buckley, Maschoff, Talwalkar & Allison LLC

Date Received: 6/1/04

Matter No.: H01.002/U

Items Docketed: Receipt of Filing receipt

Initials: [Signature]

**** SMALL ENTITY ******Title**

Systems and methods to facilitate a transfer of a refund amount from an educational institution to a student

Preliminary Class

434

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

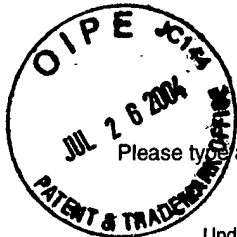
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Please type a plus sign (+) inside this box



PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | |
|--|-----------------------------|-------------------------------|-----------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 10/799,387 | |
| | Filing Date | March 12, 2004 | |
| | First Named Inventor | LASATER, Miles | |
| | Group Art Unit | 3713 | |
| | Examiner Name | Not yet assigned | |
| Total Number of Pages in This Submission | 5 | Attorney Docket Number | H01.002/U |

| ENCLOSURES (check all that apply) | | |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> CD, Number of CD(s) | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request to correct Filing Receipt, copy of redlined Filing Receipt, and Acknowledgement Postcard |
| Remarks | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|------------------------|
| Firm or Individual name | Patrick J. Buckley |
| Signature | <i>Patrick Buckley</i> |
| Date | July 23, 2004 |

| CERTIFICATE OF TRANSMISSION/MAILING | | | |
|--|---------------------|------|---------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with United Postal Service with sufficient postage as first class mail in an envelope address to: the Office of Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: July 23, 2004 | | | |
| Type or printed name | Edith Martin | | |
| Signature | <i>Edith Martin</i> | Date | July 23, 2004 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.